

STATUTORY INSTRUMENTS

SUPPLEMENT No. 62

21st November, 2001

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2001 No. 62.

The Capital Markets (Prospectus Requirements) (Amendment) (No. 2) Regulations, 2001
(Under section 102 of the Capital Markets Authority Statute, 1996, Statute No. 1 of 1996).

IN EXERCISE of the powers conferred on the Capital Markets Authority by section 102 of the Capital Markets Authority Statute 1996, these Regulations are made this 21st day of November, 2001.

1. Short title and commencement.

These Regulations may be cited as the Capital Markets (Prospectus Requirements) (Amendment) (No. 2) Regulations, 2001 and shall be deemed to have come into force on 1st August, 2001.

2. Amendment of Part III of the Schedule.

The Capital Markets (Prospectus Requirements) Regulations 1996, are amended in Part III of the Schedule—

(a) by substituting for the first part of paragraph 3 the following—

“A prospectus submitted to the prescribed authority shall be accompanied by a statement showing the financial performance of the issuer and its subsidiaries during the preceding five financial years or such shorter period as the prescribed authority may, on the request of the applicant permit, and the statement shall contain a breakdown between the more important business activities together with an explanation of the reasoning for the breakdown including, but not limited to the following information”;

(b) by inserting immediately after para (i), the following new paragraph—

“(j) audited profit and loss accounts and balance sheets, and where the audited financial statements submitted to the Authority are in respect of a period that ended more than six months before the date of the prospectus, the issuer shall include in the prospectus, un-audited financial statements relating to a period not longer than ninety days from the date of the prospectus.”

Chairman, Capital Markets Authority

LEO KIBIRANGO,