

STATUTORY INSTRUMENTS SUPPLEMENT

to The Uganda Gazette No. 17 Volume XCVI dated 22nd March, 2001.

Printed by UPPC, Entebbe, by Order of the Government.

STATUTORY INSTRUMENTS.

2001 No. 17.

The Capital Markets (Prospectus Requirements)
(Amendment) Regulations, 2001.

(Under section 102 of the Capital Markets Authority Statute, 1996, Statute No. 1 of 1996)

IN EXERCISE of the powers conferred upon the Capital Markets Authority by section 102 of the Capital Markets Authority Statute, 1996, these Regulations are made this 22nd day of March, 2001.

1. Short title and commencement

These Regulations may be cited as the Capital Markets (Prospectus Requirements) (Amendment) Regulations, 2001, and shall be deemed to have come into force on 1st March, 2001.

2. Amendment of Regulations

The Capital Markets (Prospectus Requirements) Regulations, 1996, are amended—

(a) in regulation 9, by inserting after subregulation (3), the following new subregulation—

“(4) An information memorandum, not offering securities to the public, submitted to the prescribed authority for approval shall be accompanied by the fees prescribed in Part IV of the Schedule to these Regulations.”; and

(b) in Part IV of the Schedule, by inserting after the second item, the following new item—

“For the approval of an information memorandum UShs. 25,000,000.”.

LEO KIBIRANGO,
Chairman, Capital Markets Authority.